UNITED STATES DISTRICT COURT FOR THE DISTRICT OF VERMONT

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In Re Subpoena to Daniel McLean in

Jacob R. Kent, et al., v. R.L. Vallee, Inc., et al.,

Case No. 617-6-15 Cncv

Case No.

2:18-cv-201

NOTICE OF REMOVAL

Pursuant to 28 U.S.C. §§ 1442(a) and 1446, non-parties the Office of United States

Senator Bernard Sanders and Daniel McLean, an employee of the Senator's office, hereby
remove to this Court the state court subpoena (Exh. A, hereto) that was issued on November 9,
2018 by the Superior Court of Vermont commanding the production of testimony and documents
by Mr. McLean at a deposition in a pending state court action. The subpoena was issued on
behalf of R.L. Vallee, Inc., a defendant in the underlying state court case in which plaintiffs
allege that the defendant gas wholesalers and retailers have engaged in price fixing in violation of
state law, including the Vermont Consumer Protection Act, 9 V.S.A. § 2453. Throughout the
relevant time period specified in the subpoena, Senator Sanders, with the assistance of his
employees, including Mr. McLean, exercised his legislative authority to investigate and legislate
regarding the conditions affecting the price of gas in Vermont and nationally.

Removal of this subpoena is authorized by 28 U.S.C. § 1442(a), inasmuch as the subpoena commands the production of testimony from Mr. McLean and documents from him and the Senator's office for or relating to acts under color of office, and subpoena respondents

¹ Undersigned understands that the subpoena was intended to be directed to Daniel McLean of the Senator's office notwithstanding the subpoena's issuance to "David Mclean." This action is removed on behalf of Mr. McLean and the Senator's office because the subpoena seeks the production of nonpublic information from that office.

can raise one or more colorable federal defenses, including sovereign immunity and absolute legislative immunity under the Speech or Debate Clause, art. I, § 6, cl. 1 of the Constitution. *See, e.g., Brown & Williamson Tobacco Corp. v. Williams*, 62 F.3d 408, 414-15 (D.C. Cir. 1995) (Speech or Debate immunity); *Hammer v. U. S. Dep't of Health and Human Servs.*, 905 F.3d 517, 528 (7th Cir. 2018) (sovereign immunity).

Removal is timely because it is filed within 30 days of November 9, 2018, on which Mr. McLean received, through service, notice of the underlying proceeding in which the instant subpoena was issued. *See* 28 U.S.C. §§ 1442(d)(1) & 1446(g).²

² The several amendments to Sections 1442 and 1446 in 2011 make "clear that federal agencies and officers will be permitted to remove" a state court subpoena "based on the mere service of a subpoena," and without regard to the existence of any state court contempt proceedings. 16 James Wm. Moore et al., *Moore's Federal Practice* § 107.100[4][c], at 107-253 and 107-254 (3d ed. 2018); *see also* 14C Charles Alan Wright & Arthur R. Miller, *Federal Practice and Procedure* § 3726 at 485-86 (4th ed. 2008) (same); *Goncalves By and Through Goncalves v. Rady Childrens' Hospital San Diego*, 865 F.3d 1237, 1249-50 (9th Cir. 2017) (describing same).

Contemporaneous with the filing of this Notice with this Court, a copy of the Notice is being lodged with the Clerk of the Superior Court of Vermont.

Respectfully submitted,

PATRICIA MACK BRYAN

Senate Legal Counsel

MORGAN J. FRANKEL Deputy Senate Legal Counsel

GRANT R. VINIK

Assistant Senate Legal Counsel

THOMAS E. CABALLERO Assistant Senate Legal Counsel

Attorneys for Office of United States Senator Bernard Sanders and Employee Daniel McLean

Dated: November 28, 2018

EXHIBIT A

Case 2:18-cv-00201-wks Document 1-1 Filed 11/28/18 Page 2 of 7 SUBPOENA

Form 501

5/12 5ML

STATE OF VERMONT

SUPERIOR COU	RT Unit		Decket No. 617	-6-15 Cncv				
Plaintiff JACOB 1	R. KENT, ET AL.	Defendant R.L. VALLEE, ET AL.						
Type of Case: (C	Civil [Small Claims	s Domestic Relations Juve	nile	Imy Probate St., Burlington,				
<u> </u>	o appear at the: Superio	or Court: Criminal Division Family		on ed at:				
Street Address		Town/City BURLINGTON, VT 05402	Date MONDAY, Dec. 2018	7ime 3, 9:00 a.m. p.m.				
to	hearing Itend and give testimo permit inspection of e premises	designated electronica		s, documents, or				
This subpoena was	requested by: 🌅 Plai		Defendant					
If you have any que	estions, please contact	Person Requesting Subpoona TRISTRAM COFFIN, DOWNS RACHLIN at 802-863-2375 MARTIN						
On Date of Survice	Sec Im E: A Subpoena may b	case bring a copy of this subpoem portant Notice on the Other Sicretary Notice of the Start RETURN OF SERVING Served by any person who is not red this subpoema upon	le of this Subpoena. CE	18 years of age.				
by:								
Location: City/Town	on	Uni						
Miles Reading Copies	\$ \$	Signature	Tile					
TC - 4 - 1	d •	Copy 1 - Court	Copy 2 - Witness	Copy 3 - Officer/Server				

Case 2:18-cv-00201-wks Document 1-1 Filed 11/28/18 Page 3 of 7

V.R.C.P. 45. SUBPOENA

- (c) Protection of Persons Subject to Subpoenas.
- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court for which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost carnings and a reasonable attorney's fee.
- (2)(A) A person commanded to produce and permit inspection, copying, testing, or sampling of designated electronically stored information, books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.
- (B) Subject to paragraph (d)(2) of this tule, a person commanded to produce and permit inspection, copying, testing, or sampling may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to producing any or all of the designated materials or inspection of the premises—or to producing electronically stored information in the form or forms requested. If objection is made, the party serving the subpoena shall not be entitled to the requested production or to inspect, copy, test, or sample the materials or inspect the premises except pursuant to an order of the court for which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production, inspection, copying, testing, or sampling. Such an order to compel shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection, copying, testing, or sampling commanded.
- (3) (A) On timely motion, the court for which a subpoena was issued shall quash or modify the subpoena if it
 - (i) fails to allow reasonable time for compliance;
 - (ii) requires a resident of this state to travel to attend a deposition more than 50 miles one way unless the court otherwise orders; requires a nonresident of this state to travel to attend a deposition at a place more than 50 miles from the place of service unless another convenient place is fixed by order of court, or
 - (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
 - (iv) subjects a person to undue burden.
- (li) if a subpoena
 - (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
 - (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
 - (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 50 miles one way to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.
- (d) Duties in Responding to Subpoena.
- (i)(A) A person responding to a subpoend to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.
- (B) If a subpoena does not specify the form or forms for producing electronically stored information, a person responding to a subpoena must produce the information in a form or forms in which the person ordinarily maintains it or in a form or forms that are reasonably usable.
- (C) A person responding to a subpoena need not produce the same electronically stored information in more than one form.
- (D)A person responding to a subpoena need not provide discovery of electronically stored information from sources that the party identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person from whom discovery is sought must show that the information sought is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(1). The court may specify conditions for the discovery.
- (2)(A) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.
- (B) If information is produced in response to a subpoens that is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must premptly return, sequester, or destroy the specified information and any copies it has and may not use or disclose the information until the claim is resolved. A receiving party may promptly present the information to the court under seal for a determination of the claim. If the receiving party disclosed the information before being notified, it must take reasonable steps to retrieve it. The person who produced the information must preserve the information until the claim is resolved.

Schedule A To Subpoena to Daniel McLean (Documents to be Produced)

For the time period January 1, 2009 to September 22, 2015, please produce the following documents in your ownership or control, whether or not the documents are in your custody or the custody of another person or entity.

Documents include emails and enclosures, text messages, and notes of telephone conversations as well as hard copy documents. Emails and texts include both governmental accounts and personal accounts.

Documents:

1. All documents and communications with, concerning or regarding any of the following:

R.L. Vallee, Maplefields, and/or Rodolphe ("Skip") Vallee;

competition or lack of competition in the gasoline business;

collusion or cooperation in the gasoline business;

investigations or possible or planned investigations concerning any of the foregoing;

legislative hearings or possible or planned hearings concerning any of the foregoing;

meetings concerning any of the foregoing;

the Federal Trade Commission (FTC) regarding gas prices in Vermont;

the United States Department of Justice (DOJ) regarding gas prices in Vermont;

the Bailey & Glasser LLP law firm or any of its attorneys;

the Burlington Law Practice or Josh Simonds, Esq.;

Costco Wholesale Corporation (Costco);

lawsuits or possible or planned lawsuits concerning any of the foregoing;

Jacob R. Kent, Anne B. Vera, Thomas R. Mahar, Dawn M. Mahar, David C. Carter, or Barbara Carter;

- All documents and communications about press conferences, press releases or public statements concerning gasoline prices or pricing or competition or collusion or cooperation.
- 3. All documents and communications with legislators or elected or public officials concerning gasoline prices or pricing, gasoline competition or collusion or cooperation, Rodolphe ("Skip") Vallee, R.L. Vallee, or Maplefields, Champlain Oil Company, Tony Cairns, S.B. Collins Co., Bruce Jolley, Wesco, Champlain Farms or David Simendinger concerning any of the foregoing.
- 4. All documents and communications about lawsuits or planned or possible lawsuits about any aspect of gasoline prices or pricing or competition or collusion or cooperation.
- 5. All documents and communications with lawyers or law firms about gasoline prices or pricing or competition or collusion or cooperation, or about lawsuits or potential lawsuits concerning any of the foregoing, including but not limited to any electronic logs or electronic or hard copy notes indicating oral communications with any lawyers or employees of these firms.
- 6. All documents and communications with or about the law firm Bailey & Glasser LLP or any of its lawyers, including but not limited to any electronic logs or electronic or hard copy notes indicating oral communications with any lawyers or employees of this firm.
- 7. All documents and communications with or about the law firm The Burlington Law Practice, PLLC or any of its lawyers, including Josh Simonds, Esq. including but not limited to any electronic logs or electronic or hard copy notes indicating oral communications with any lawyers or employees of this firm.
- 8. All documents and communication with or about Jacob R. Kent, Anne B. Vera, Thomas R. Mahar, Dawn M. Mahar, David C. Carter, or Barbara Carter.
- 9. All documents and communications with or about potential plaintiffs in any lawsuit, concerning any of the topics in Request No. 1, above.
- 10. All documents and communications with or about William Sorrell, concerning any of the topics in Request No. 1, above.
- 11. All documents and communications with or about Dean Corren, concerning any of the topics in Request No. 1, above.
- 12. All documents and communications with or about Ryan Kriger, concerning any of the topics in Request No. 1, above.
- 13. All documents and communications with or about Wendy Morgan, concerning any of the topics in Request No. 1, above.

- 14. All documents and communications with or about Jill Abrams, concerning any of the topics in Request No. 1, above.
- All documents and communications with or about William Griffin, concerning any of the topics in Request No. 1, above.
- 16. All documents and communications with anyone in the Vermont Attorney General's office, concerning any of the topics in Request No. 1, above.
- 17. All documents and communications with or about Richard Mazza, concerning any of the topics in Request No. 1, above
- 18. All documents and communications with or about R.L. Vallee, Inc., S.B. Collins, Inc., Champlain Oil Co., Inc. or Wesco, Inc.
- 19. All documents and communications about gasoline prices or pricing or completion or collusion or cooperation, with federal government employees or officials, including but not limited to any United States Senator or any member of their staff, and including, but not limited to, the Federal Trade Commission (FTC).
- 20. All documents and communications with United States Senator Bernard Sanders's office, including but not limited to Senator Bernard Sanders, Jane Sanders, Philip Fiermonte, Daniel McLean, Warren Gunnels, and/or Michael Briggs, concerning any of the topics in Request No. 1, above.
- 21. All documents and communications regarding the possible 2012 or 2018 United States Senate candidacy of Rodolphe ("Skip") Vallee or James Douglas with anyone in United States Senator Bernard Sanders' office, Jane Sanders, or any campaign staff or representatives.
- All documents and communications with members of any media, concerning any of the topics in Request No. 1, above
- 23. All documents and communications with Costco Wholesale Corporation (Costco) or its employees, agents, lawyers, or representatives.
- 24. All documents and communications regarding Costco Wholesale Corporation (Costco) with the Vermont District Environmental Commission or any commission representatives, or other members of agencies or bodies involved in permitting or regulating Costco gas stations or gas pumps.
- 25. All documents and communications related to a meeting or meetings with Senator Bernard Sanders' Office, and/or Bailey & Glasser, and/or the Vermont Attorney General's Office, on or about September 23-25, 2014.

- 26. All documents and communications related to a meeting or meetings with Bailey & Glasser and/or the Vermont Attorney General's Office on or about August 6, 2015.
- 27. All documents and communications related to a meeting or meetings with anyone representing the United States Department of Justice (DOJ), at any time.
- 28. All documents and communications related to any other meetings involving Bailey & Glasser, Senator Sanders' Office, Joshua Diamond, the Vermont Attorney General's Office, the FTC, and/or the United States Department of Justice (DOJ), individually or collectively.
- 29. All social media postings and/or messages posted or sent or forwarded or received by you, including, but not limited to, Facebook postings/messages and Twitter postings/messages ("tweets"), concerning any of the topics in Request No. 1, above, including any such material that has been deleted.
- 30. Any and all copies of Complaints, draft Complaints, and/or marked-up Complaints and/or other pleadings or court filings, including, but not limited to, any discussion of or participation in the allegation that the Defendants had "secret meetings."

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JS 44 (Rev. 08/18)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

DEFENDANTS

1. (a) PLAINTIFFS Non-parties Office of United States Senator Bernard Sanders and Employee Daniel McLean's removal of Vallee subpoena in Kent, et al. v. R.L. Vallee, In				DEFENDANTS R.L. Vallee, Inc., et	t al.		
et al., VT Superior Court	The suspection in the suspecti		,	County of Residence	of First Listed Defendant		
(b) County of Residence of First Listed Plaintiff (EXCEPT IN U.S. PLAINTIFF CASES)				(IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.			
(c) Attorneys (Firm Name, 2	Address, and Telephone Number)		Attorneys (If Known) Tristram Coffin, Es	q.		
Patricia Mack Bryan, Ser Washington, D.C. 20510		2 Hart Senate Bui	lding,	Downs, Rachin, Ma 199 Main Street, C	artin PLLC ourthouse Plaza, Burling	gton, VT 05402-0190	
II. BASIS OF JURISDI	CTION (Place an "X" in O	ne Box Only)	III. CI	TIZENSHIP OF P	RINCIPAL PARTIES	(Place an "X" in One Box for Plaintifi and One Box for Defendant)	
☐ 1 U.S. Government Plaintiff	☐ 3 Federal Question (U.S. Government)	vot a Party)	Citiz	en of This State	•	PTF DEF incipal Place ☐ 4 ☐ 4	
☐ 2 U.S. Government ☐ 4 Diversity Defendant		Citiz	en of Another State	2			
Removal pursuant t	to 28 U.S.C. § 1442			en or Subject of a oreign Country	3	□ 6 □ 6	
IV. NATURE OF SUIT		ly) RTS		ORFEITURE/PENALTY	Click here for: Nature of BANKRUPTCY	of Suit Code Descriptions. OTHER STATUTES	
□ 110 Insurance □ 120 Marine □ 130 Miller Act □ 140 Negotiable Instrument □ 150 Recovery of Overpayment Œ Enforcement of Judgment □ 151 Medicare Act □ 152 Recovery of Defaulted Student Loans (Excludes Veterans) □ 153 Recovery of Overpayment of Veteran's Benefits □ 160 Stockholders' Suits □ 190 Orther Contract □ 195 Contract Product Liability □ 196 Franchise □ 220 Foreclosure □ 230 Rent Lease & Ejectment □ 240 Torts to Land □ 245 Tort Product Liability □ 290 All Other Real Property	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle 355 Motor Vehicle Product Liability 360 Other Personal Injury 362 Personal Injury Medical Malpractice CIVIL RIGHTS 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities - Employment 446 Amer. w/Disabilities - Other 448 Education	Other: 540 Mandamus & Oth 550 Civil Rights 555 Prison Condition 560 Civil Detainee - Conditions of		25 Drug Related Seizure of Property 21 USC 881 20 Other LABOR 10 Fair Labor Standards Act 20 Labor/Management Relations 40 Railway Labor Act 51 Family and Medical Leave Act 90 Other Labor Litigation 91 Employee Retirement Income Security Act IMMIGRATION 62 Naturalization Application 65 Other Immigration Actions	□ 422 Appeal 28 USC 158 □ 423 Withdrawal 28 USC 157 PROPERTY RIGHTS □ 820 Copyrights □ 830 Patent □ 835 Patent - Abbreviated New Drug Application □ 840 Trademark SOCIAL SECURITY □ 861 HIA (1395ff) □ 862 Black Lung (923) □ 863 DIWC/DIWW (405(g)) □ 864 SSID Title XVI □ 865 RSI (405(g)) FEDERAL TAX SUITS □ 870 Taxes (U.S. Plaintiff or Defendant) □ 871 [RS—Third Party 26 USC 7609	□ 375 False Claims Act □ 376 Qui Tam (31 USC □ 3729(a)) □ 400 State Reapportionment □ 410 Antitrust □ 430 Banks and Banking □ 450 Commerce □ 460 Deportation □ 470 Racketeer Influenced and □ Corrupt Organizations □ 480 Consumer Credit □ 485 Telephone Consumer □ Protection Act □ 490 Cable/Sat TV □ 850 Securities/Commodities/ □ Exchange ■ 890 Other Statutory Actions □ 891 Agricultural Acts □ 893 Environmental Matters □ 895 Freedom of Information □ Act □ 896 Arbitration □ 899 Administrative Procedure □ Act//Review or Appeal of □ 490 Constitutionality of □ 5tate Statutes * Reinoval pursuant to 28 U.S.C. § 1442	
	moved from 🗇 3			nstated or			
	ate Court	Appellate Court		pened Anothe (specify)	er District Litigation Transfer	Litigation - Direct File	
VI. CAUSE OF ACTIO	ON 28 U.S.C. §§ 144 Brief description of c	2 and 1446		Do not cite jurisdictional stat	utes unless diversity): crior Court, Civil Division. N	at removing entire case	
VII. REQUESTED IN COMPLAINT:		IS A CLASS ACTIO		DEMAND \$		if demanded in complaint:	
VIII. RELATED CASI	E(S) (See instructions):	JUDGE			DOCKET NUMBER		
DATE 11/28	/18	SIGNATURE OF AT	TORNEY	OF RECORD			
FOR OFFICE USE ONLY		1 m v-uat	yint	1	17(1)		
RECEIPT# A!	MOUNT	APPLYING IFP		JUDGE	MAG. JUE	OGE	

7:18-CV-701

CERTIFICATE OF SERVICE

I hereby certify that on November 28, 2018, I caused to be placed in U.S. Mail and delivered by fax the foregoing Notice of Removal to:

Tristram Coffin, Esq.
Downs, Rachin, Martin, PLLC
Courthouse Plaza
199 Main Street
Burlington, VT 05402-0190

Counsel for Defendant R.L. Vallee, Inc.

PATRICIA MACK BRYAN

Senate Legal Counsel 642 Hart Senate Building Washington, D.C. 20510

Telephone: (202) 224-4435

Fax: (202) 224-3391

Email: pat_bryan@legal.senate.gov